

Regulatory Reporting System (RRS)

Reduce the Associated with Shareholding Disclosure

AMERICAS

Argentina, Brazil,
Canada, Chile, Mexico,
United States

EUROPE

Austria, Belgium,
Denmark, European
Union, Finland,
France, Germany,
Greece, Ireland, Italy,
Luxembourg,
Netherlands, Norway,
Portugal, Romania,
Russia, Slovenia,
Spain, Switzerland,
United Kingdom

ASIA PACIFIC

Australia, Hong
Kong, India, Indonesia,
Japan, Malaysia,
Singapore, South Korea,
Taiwan, Thailand

MIDDLE EAST &

AFRICA

Bahrain, Dubai (UAE),
Egypt, Israel, Kuwait,
South Africa



WHAT RRS WILL DO FOR YOU

- Automates position monitoring for large and net short positions, takeovers, foreign investment and sensitive sectors.
- Provides daily, monthly, quarterly, and annual position monitoring.
- Produces the workflow tasks to research, modify and approve identified filing requirements through an easy to use web-based user interface.
- Generates required filing documents automatically and provides historical audit trail of filings.

The **Regulatory Reporting System (RRS)** is designed to automate the workflow around the shareholding disclosure reporting process.

RRS fully aggregates positions and holdings across the entity, taking into account relevant interests and beneficial ownership to determine the amount held and the percent held at each step of the legal entity hierarchy. Positions are tested against the regulatory filing requirements in the jurisdictions where they are held. If a threshold has been breached the system identifies the disclosure obligation and generates the necessary filing documents. Each disclosure can be reviewed and modified prior to submission to regulators. A complete audit trail is maintained within the system.

Customers have the option of creating their own rules through the fully integrated Rule Builder or subscribing to the Rules Library which currently supports rules for over 40 countries.

WHY YOU SHOULD LEARN MORE ABOUT RRS

- Ensures timely and accurate disclosure for compliance.
- Automates the regulatory reporting process, reducing processing time, manual errors, and labor costs.
- Enables complex data consolidation, roll-up aggregation to report across the entity.
- Allows rapid adaptation to internal and/or securities law changes.
- Codifies senior management's response to regulatory disclosure requirements.